

Sparsifying Convolutional Layers with Dual-Tree Wavelet Packets

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Background

Convolutional neural networks (CNNs) [LeCun1989]:

- ✓ **state-of-the-art performances in computer vision;**
- ✗ **empirical approach, lack of theoretical understanding.**

Discrete wavelet transforms [Mallat2009]:

- ✓ **built on well-established mathematical framework;**
- ✓ **successful in feat. extraction, signal compression and denoising;**

Oscillating patterns very often observed in CNN kernels [Yosinski2014].

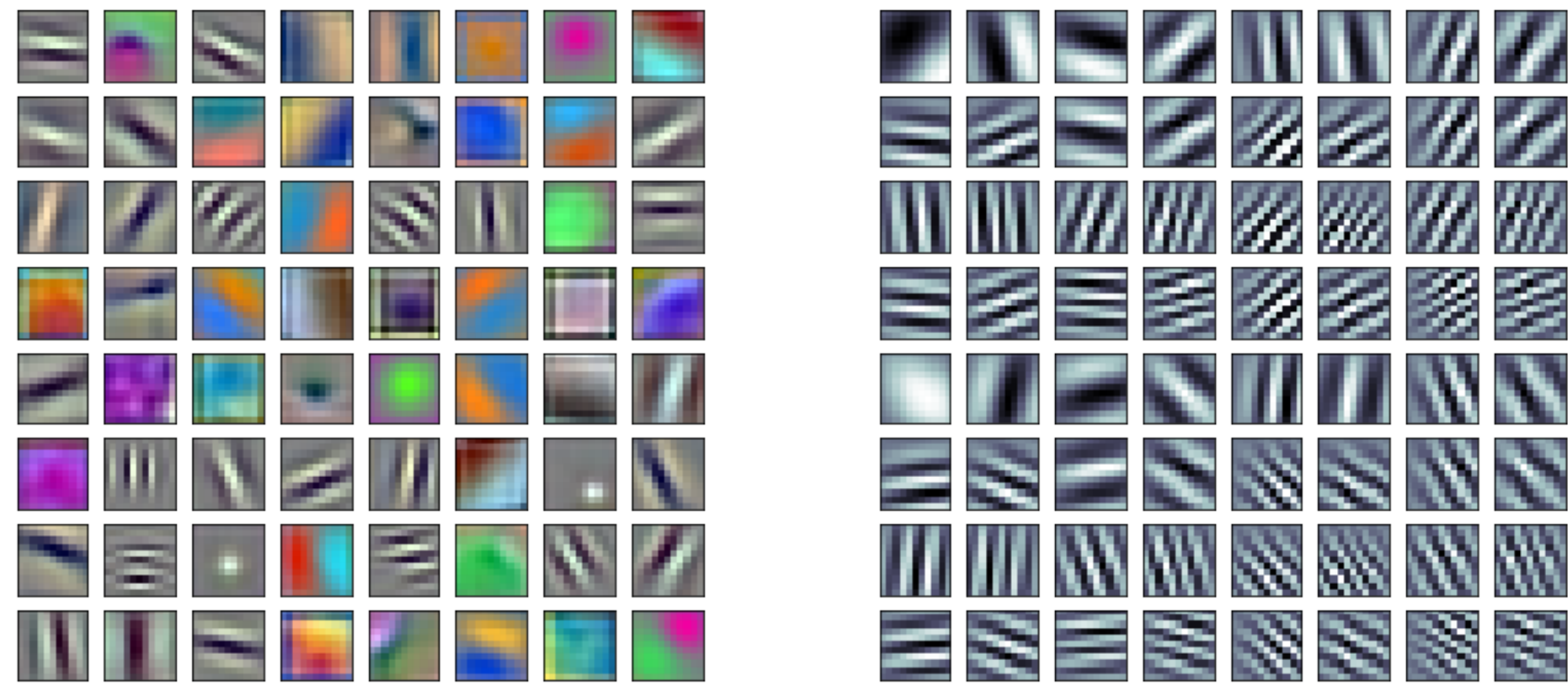


Figure 1. Left: AlexNet's first layer after training with ImageNet. Right: selection of dual-tree complex wavelet packet filters with 3 decomposition stages (real part only).

Objective

✓ **Theoretical and empirical study** of CNN properties for image classification.

Roadmap:

1. **Build a sparse model** of existing CNN architectures, based on the **dual-tree wavelet packet transform (DT-CWPT)** [Bayram2008].
⇒ **Subset selection** among all possible configurations.
2. **Assess model's accuracy** with respect to the original architecture, from a qualitative and quantitative point of view.
3. **Study properties of the sparse model**, such as directional selectivity, stability with respect to translations, rotations, deformation, etc.
4. Identify ways of optimizing the network.

Related work

Wavelet scattering networks [Bruna2013; Oyallon2017; Zarka2020]: CNN-like cascading wavelet convolutions.

= **Structure CNNs** into well-defined math. operators and **study invariances.**

≠ Wavelet scattering networks are **built from scratch.** Our approach aims at studying **existing architectures.**

Proposed models

- Models based on **AlexNet** and **ResNet34**.
- First conv. layer replaced by **dual-tree wavelet packets**.

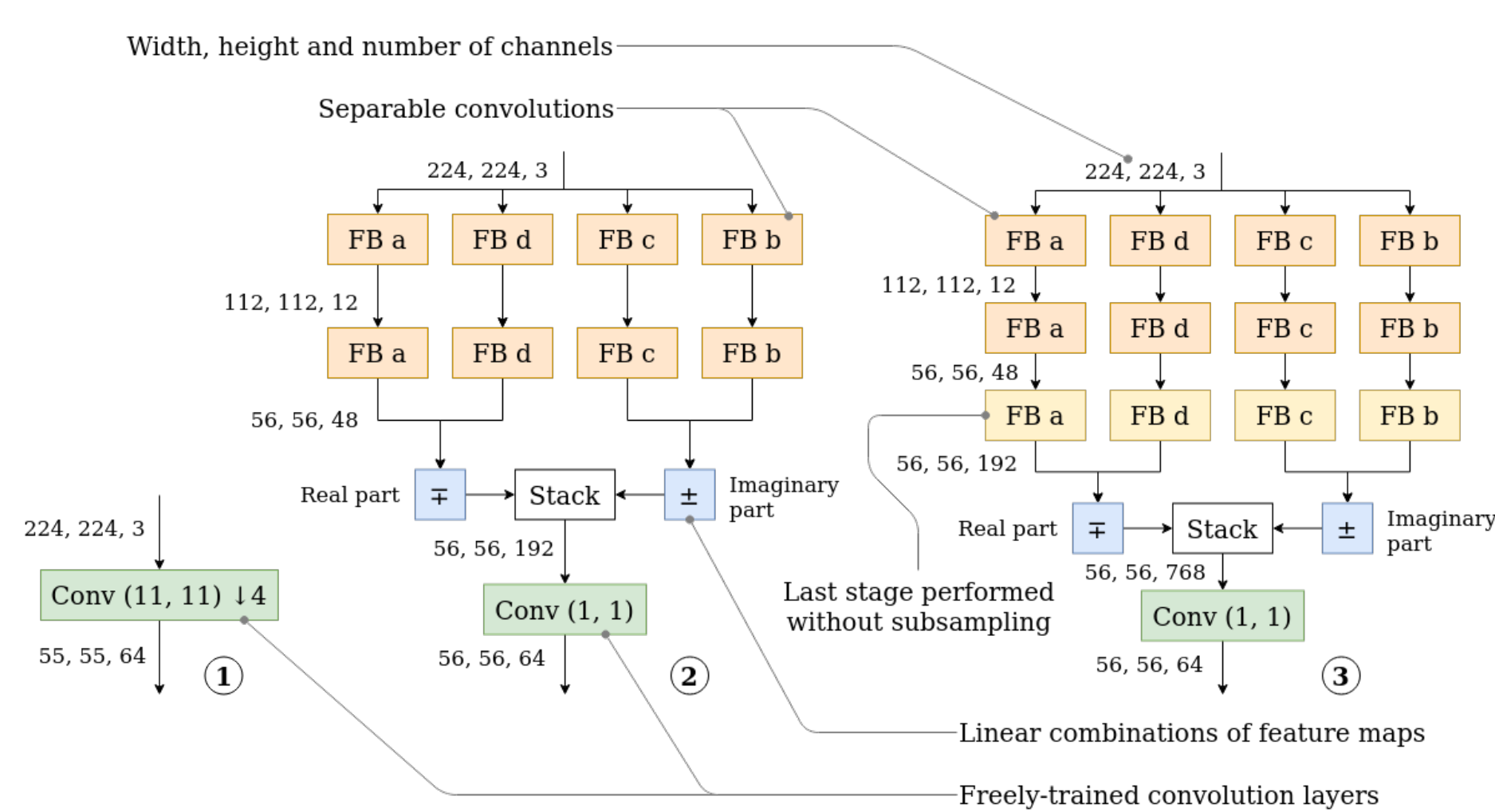


Figure 2. ① AlexNet's first layer. ②③ DT-CWPT modules replacing AlexNet's first layer, with 2 and 3 decomposition stages, respectively. The real and imaginary parts of complex wavelet packet coefficients are stored in separate channels.

Kernel similarity

Models trained on **ImageNet ILSVRC2012**.

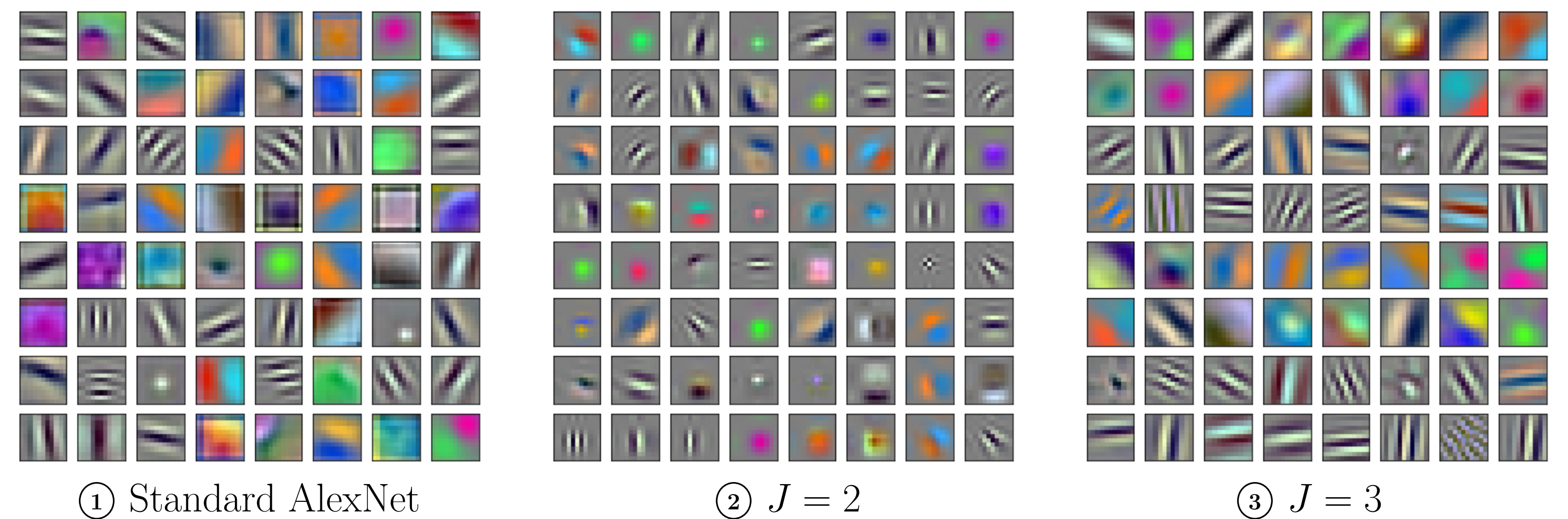


Figure 4. Resulting kernels of DT-CWPT AlexNet, compared to the standard architecture. J = number of decomposition stages. Filters are cropped to 11×11 to match the original size of AlexNet's kernels.

Predictive power

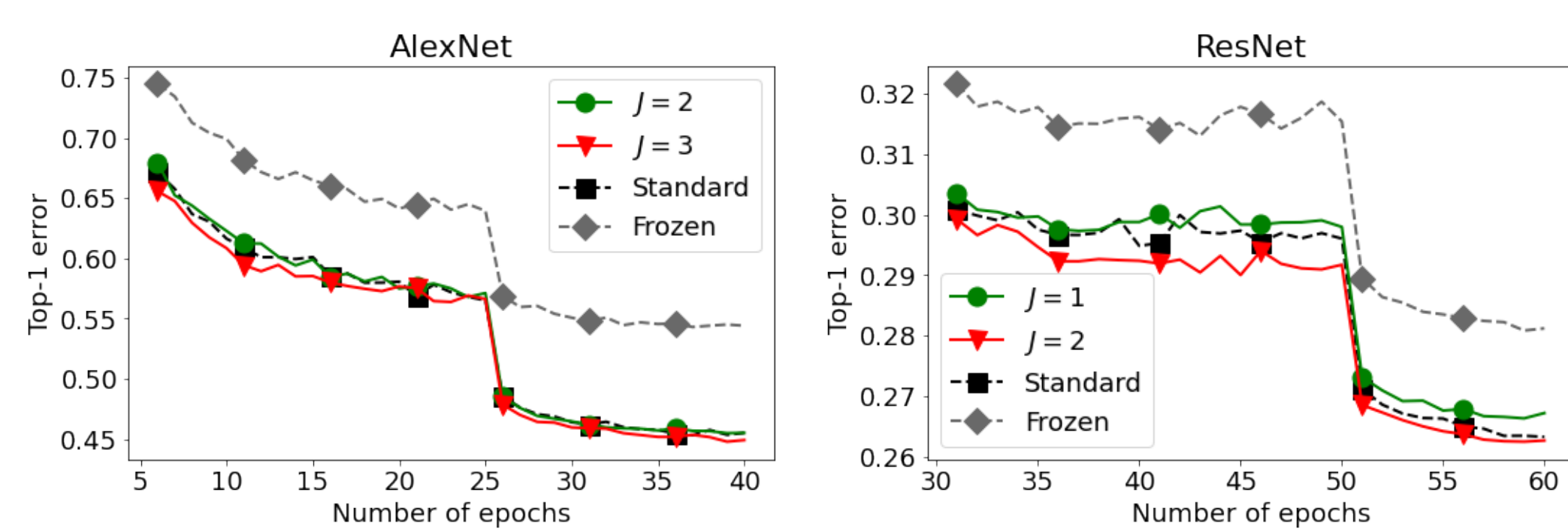
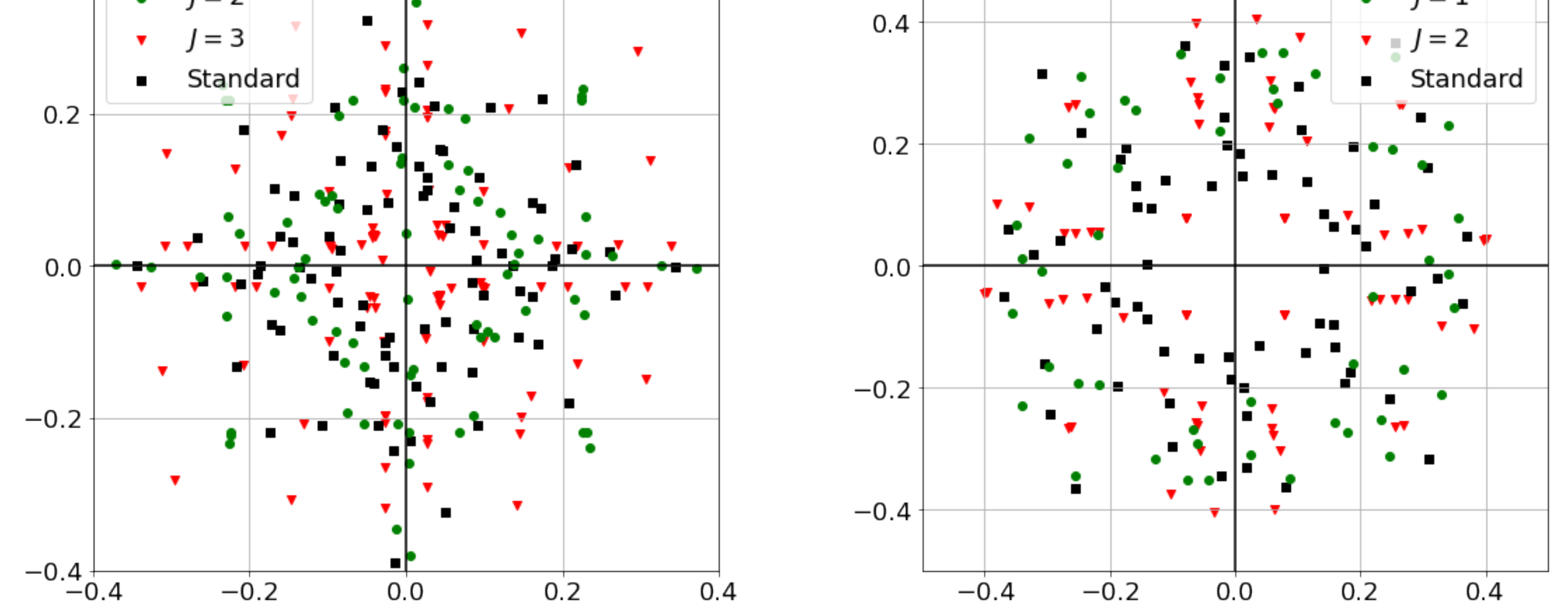


Figure 3. Validation error for AlexNet (left) and ResNet34 (right) along training with ImageNet. J = number of decomposition stages. Dashed gray curves → standard architecture with frozen first layer.

Kernel similarity



⇒ **The resulting kernels cover the same frequency area as standard AlexNet when $J = 3$ ($J = 2$ for Resnet34).**

Future work

- Establish near-equivalence between the output of **max pooling** layers in CNNs and the **modulus** of complex wavelet packet coefficients (inspired by [Waldspurger2015]).
- Perform a theoretical and empirical study of **various types of invariants** (shifts, rotations, deformations).
- Further increase sparsity of the models.
- Perform a quantitative evaluation of kernel similarity.
- Focus research on **deeper layers**.

References and acknowledgments

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